

Follow-up Programme of Activity

Appendix 1

			Recommendations Made				Follow-up Outcome				
							Outstanding Issues				
Department	Audit	Assurance Rating	Red	Amber	Green	Follow-up Date	Red	Amber	Green	Total Outstanding Recs	Current Assurance Rating (30 June 2020)
Chamberlain's - 2020-21	COMMERCIAL LEASES	Amber	0	3	1	Completed	0	0	0	0	Green
Markets - 2020-21	ASYLUM SEEKERS	Red	4	5	0	Completed	0	0	0	0	Green
Open Spaces 2019-20	DATA SECURITY	Amber	0	2	4	Completed	0	0	0	0	Green
Markets	COMMERCIAL EVENTS	Green	0	0	0	Completed	0	0	0	0	Green
Barbican Centre	IT - MOBILE DEVICES	Amber	0	8	3	Completed	0	0	0	0	Green
City of London School for Girls	COUNCIL TAX - all recs closed	Green	0	0	1	Completed	0	0	0	0	Green
City Surveyors	SCHOOL FEES	Green	0	1	1	Completed	0	0	0	0	Green
City Surveyors	ECONOMIC DEVELOPMENT	Amber	0	4	1	Completed	0	0	0	0	Green
Corporate Wide	RESPONSIBLE BUSINESS STRATEGY	Amber	0	4	0	Completed	0	0	0	0	Green
Corporate Wide	CORPORATE-WIDE BUDGETARY CONTROL	Amber	0	2	0	Completed	0	0	0	0	Green
Barbican Centre	STUDENT PETTY CASH	Green	0	3	8	Completed	0	0	0	0	Green
Corporate HR	CLFS ENABLING WORKS	Red	4	0	0	Completed	0	0	0	0	Green
Corporate Wide	ARTISTIC EVENTS DECISION-MAKING & EVALUATION	Green	0	0	0	Not required	0	0	0	0	Green
Corporate Wide	BUDGET-SETTING & FINANCIAL MONITORING	Green	0	0	0	Not required	0	0	0	0	Green
Corporate Wide	TRANSFORM CHANGE PROGRAMME PHASE 1	Green	0	0	0	Not required	0	0	0	0	Green
Corporate Wide	SCHOOL EXPANSION PROJECT	Amber	N/A	N/A	N/A	Not required	N/A	N/A	N/A	N/A	N/A
Barbican Centre	CYBER	Red	2	11	0	May-20	1	0	0	1	Amber
City of London School	STRATEGIC PLANNING, MONITORING & IMPLEMENTATION	Amber	0	2	0	May-20	0	1	0	1	Amber
Barbican Centre	BUDGET ESTIMATING	Amber	0	2	0	May-20					
City Surveyors	CYBER SECURITY	Red	3	11	0	Jun-20	1	3	0	4	Amber
Community and Children's Services	CYBER SECURITY	Red	3	12	1	Jun-20	0	3	0	3	Amber
Guildhall School	FRAUD RISK MANAGEMENT	Amber	0	7	4	Jun-20	0	2	0	2	Amber
Barbican Centre	CORPORATE -WIDE - COMMERCIAL MANAGER SCORECARD PROCEDURES	Amber	0	6	4	Jun-20	0	3	0	3	Amber
Guildhall School	CORPORATE-WIDE - SUPPLIERS FINANCIAL HEALTH/RESILIENCE	Amber	0	6	2	Jun-20	0	0	0	0	Green
City of London School for Girls	FRONT DESKS	Amber	1	4	0	Jun-20					
Built Environment	CORPORATE-WIDE HIGHWAYS REPAIRS AND MAINTENANCE CONTRACT	Green	0	1	0	Jul-20	0	1	0	1	Green
Chamberlain's	INCOME GENERATION	Amber	0	3	0	Jul-20	0	0	0	0	Green
Built Environment	HEALTH & SAFETY	Amber	0	2	0	Jul-20					
City of London Police	INTERPRETERS FEES	Amber	0	2	2	Jul-20					
Comptroller and City Solicitor	KEATS HOUSE - INCOME AND EXPENDITURE	Amber	1	1	5	Aug-20	1	1	5	7	Amber
City of London Police	PROJECT TEAM	Green	0	1	0	Aug-20					
City of London Police	MAJOR INCIDENT PLANNING	Green	0	0	1	Aug-20					
CLFS	HUMAN RESOURCES - STAFF SICKNESS MANAGEMENT	Amber	0	3	1	Sep-20	0	3	1	4	Amber
Barbican Centre	PROCESS MAPS	Green	0	0	4	Sep-20					
Community and Children's Services	PUBLIC SECTOR EQUALITIES DUTY	Amber	0	3	1	Sep-20					
Sir John Cass School	Income collection and Banking	Amber	0	5	5	Sep-20					
Corporate HR	HEATHROW ANIMAL CENTRE	Amber	0	5	6	Sep-20					
Open Spaces	FLEET STREET	Amber	0	2	0	Sep-20					
Barbican Centre	CLFS - KEY FINANCIAL CONTROLS	Amber	0	2	0	Sep-20	0	1	1	0	Green
Barbican Centre	BARBICAN RETAIL AND BARS	Amber	1	9	1	Sep-20	0	4	0	4	Amber
Chamberlain's	KEY CONTROL PROCESSES	Amber	0	5	1	Oct-20	0	5	1	6	Amber
Chamberlain's	FRAUD RISK MANAGEMENT	Amber	0	3	1	Oct-20					
Chamberlain's	FIRE SAFETY RISK MANAGEMENT	Amber	0	6	1	Oct-20					

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Chamberlain's	CASS CHILDREN & FAMILY CENTRE	Amber	0	1	0	Oct-20					
Chamberlain's	IT ASSET MANAGEMENT	Amber	0	17	4	Oct-20					
Chamberlain's	HARC and Animal Welfare	Amber	0	5	6	Oct-20					
City of London Freemans School	EVENTS CONTRACTING (INCLUDING CANCELLATION ARRANGEMENTS)	Amber	0	1	7	Nov-20					
City of London School	MAZARS - ITIL SERVICE DESK	Green	0	0	3	Nov-20					
City of London School	MAZARS - SHAREPOINT	Amber	0	3	1	Dec-20					
City of London School for Girls	MAZARS - FIREWALL/VPN	Green	0	1	1	Dec-20					
Town Clerk's	FRAUD RISK MANAGEMENT	Amber	0	6	1	Dec-20					
Town Clerk's	MAZARS - Software Devlepmnt Life Cycle (SDLC)	Red	1	1	0	Jan-21					
Chamberlain's - 2020-21	NNDR	Green	0	0	2	Apr-21					
Guildhall School	BUDGET-SETTING & FINANCIAL MANAGEMENT	Amber	0	1	1	TBC					
Guildhall School	FINANCIAL MONITORING	Green	0	0	2	TBC					
Police Authority	MANAGEMENT OF FREEDOM OF INFORMATION REQUESTS	Green	0	0	1	TBC					
Sir John Cass School	GDPR COMPLIANCE	Amber	0	1	1	TBC					